



# R F D

(Results-Framework Document)

Government of Haryana

for

(Urban Local Bodies)

(2012-2013)

## Section 1: Vision, Mission, Objectives and Functions

### Vision

To create citizen centric, transparent, efficient administration for environment friendly sustainable urban habitats

### Mission

To facilitate the governance of the Urban Local Bodies to improve their municipal service delivery system with emphasis to provide better opportunities for livelihood & basic services to the urban poor, besides provisions for improved and quality urban infrastructure.

### Objective

- 1 To provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure including service delivery under various schemes/projects.
- 2 To assist the urban poor (BPL) persons in enhancing their skill to access employment or better salaried jobs or undertake self-employment under SJSRY.
- 3 To create community based net-work at town level to tackle the issues of Urban Poverty through suitable self managed community structures and capacity building programme.
- 4 To facilitate urban infrastructure projects in the urban local bodies.
- 5 To assist the ULB to implement the Government of India scheme
- 6 To ensure compliance of the Financial Accountability Framework.
- 7 To improve the financial conditions of the Urban Local Bodies through improved tax Collection.
- 8 Administrative reforms (Introduction of Property Title Certificate System, Computerization of Property Tax).

### Functions

1. Ensuring proper utilization of grant in aid strictly as per guidelines of concerned Central/State scheme.
2. Implementation of centrally /state sponsored schemes.
3. Scrutiny of development works estimates.
4. Periodical inspection of Urban Local Bodies.
5. Election matters of Urban Local Bodies.
6. Regulating finances of the Urban Local Bodies.
7. Regulating proceedings of the Urban Local Bodies in accordance with the rules and regulations
8. Streamlining the tax structures of the Urban Local Bodies to improve their finances
9. Technical and administrative support to ULBs in implementation of various projects.
10. Ensure efficient Municipal Administration.

## Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] To provide Housing and basic urban infrastructure in the towns/slums under various schemes/projects.	28.00	[1.1] Completion of Projects under JNNURM (Total Projects-4)	3.50 KM Length of Sewage to be laid in old Faridabad	KM	2.00	3.50	3.15	2.80	2.45	2.10
			2 Pumping Stations at Old Faridabad	Nos.	5.00	2	1	0	0	0
			Transfer Station under Solid Waste Management at Faridabad	Nos.	1.00	1	0	0	0	0
			Augmentation of water supply (capacity) UGSR : 10 nos. OHSR : 6 nos. Pipe line : 50 nos Rainy well : 3 nos	Nos.	3.00	4	3	2	1	0
		[1.2] Completion of Projects under UIDSSMT	Construction of STP -2 nos.	Nos.	2.00	2	1	1	0	0
			Const. of SWM Plants-2 Nos.	Nos.	2.00	2	1	1	0	0
			Laying of sewerage – 10 Kms	Kms	<b>2.00</b>	<b>10</b>	<b>9</b>	<b>8</b>	<b>7</b>	<b>6</b>
		[1.3] Assisting 561 slum Dwellers in construction (in-situ) of their dwelling units in the slums.	Construction of 561 dwelling units (in-situ)	Nos.	2.00	561	505	449	393	337
		[1.4] Providing Basic Amenities in the slums under Integrated Housing & Slum Dev. Programme (IHSDP).	Installation of 3 Nos. Tubewells	Number	1.00	3	3	2	2	2
			Laying of water pipeline	KM	1.00	3.8	3.40	3.00	2.70	2.30
Laying of Sewer-line	KM		1.00	4.00	3.60	3.20	2.80	2.40		

Results-Framework Document (RFD) for Urban Local Bodies -(2012-201 3)

			Paving of Streets	KM	1.00	23.00	20.70	18.40	16.10	16.80
			Const. of Nallah	KM	1.00	3.00	2.70	2.40	2.10	1.80
			Const. of Drains	KM	1.00	20.00	18.00	16.00	14.00	12.00
			Installation of Street Lights	Nos.	1.00	550	495	440	385	330
		[1.5] Release of funds of Rs. 51200.00 lacs under Rajiv Gandhi Urban Development Mission (RGUDMH)	Release of funds	Rs. in lacs	2.00	51200	46080	40960	35840	30720

## Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[2] To assist the urban poor (BPL) persons in enhancing their skill to access employment or better salaried jobs or undertake self-employment under SJSRY.	17.00	[2.1] To get the 1709 micro projects approved from the Banks for getting loans	Nos.	Number	4.00	1709	1538	1367	1196	1025
		[2.2] To get approved loan &subsidy to 252 members of to UWSP Groups of Urban Poor Women.	Nos.	Number	3.00	252	227	202	176	151
		[2.3] To facilitate for setting up 2608 members of T&CS by providing them grants	Nos.	Number	3.00	2608	2347	2086	1826	1565
		[2.4] Imparting Skill development Training to 9572 Urban Poor through reputed / established training Institutes like VLCC, NIIT & G4S etc.		Number	4.00	9572	8615	7658	6700	5743
		[2.5] Providing daily wages for the works undertaken like Construction of Community Centre, Storm Water Drains, Roads, Parks etc. etc. in the jurisdiction of ULBs	Number of Man days	Number	3.00	14000	12600	11200	9800	8400
[3] To create community based net-work at town level to tackle the issues of Urban Poverty through suitable self managed community structures and capacity building programme.	2.00	[3.1] Formation of 50 Community Development Societies and imparting training to the members for helping the Urban Poor	Number of CDS	number	2.00	50	45	40	35	30

## Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
(4) To facilitate urban infrastructure projects in the urban local bodies.	16.00	[4.1] Assisting 2 ULBs for preparation of Detailed Project Reports under JNNURM-II	Preparation of DPR	No. of ULB	2.00	2	2	1	1	1
		[4.2] Assisting 4 ULB for implementation of the E-governance plan	Implementation of the E-governance plan	No. of ULB	3.00	4	3	2	1	0
		[4.3] Assisting 4 ULB for implementation of the Solid Waste Management Project	Implementation of the Solid Waste Management Project	No. of ULB	3.00	4	3	2	1	0
		[4.4] Preparation and Draft notification of Accounts Code-2012	Draft of Notification of Accounts Code-2012	%	3.00	100	90	80	70	60
		[4.5] Final notification of Accounts Code-2012	Notification of Accounts Code-2012	%	2.00	100	90	80	70	60
		[4.6] Notification regarding implementation of show tax								
		(i) Preparation of notification	Number	Nos.	1.00	1	0	0	0	0
		(ii) Approval of Govt./Cabinet	Number	Nos.	1.00	1	0	0	0	0
		(iii) Approval of Assembly	Number	Nos.	1.00	1	0	0	0	0
(5) To assist the ULB to implement the Government of India scheme	7.00	[5.1] Assisting in achieving 2 reforms under JnNURM.	No. of Reforms	Nos.	2.00	2	1	0	0	0
		[5.2] Assisting 2 ULBs in submission of DPRs under JnNURM -II	Number of Projects	number	2.00	2	1	0	0	0
		[5.3] Assisting the ULB for preparation of Slum Free City Action Plan under Rajiv Awas Yojna – 2 nos.	Number of Cities	number	3.00	2	1	0	0	0

## Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
(6) To ensure compliance of the Financial Accountability Framework.	7.00	[6.1] Timely submission of ATNS on Audit Paras of C& AG	Percentage of ATNS submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year	%	3.00	100	90	80	70	60
		[6.2] Timely submission of ATRs to the PAC Sectt. On PAC Reports	Percentage of ATNS submitted within due date (6 months) from date of presentation of Report to Parliament by CAG during the year	%	2.00	100	90	80	70	60
		[6.3] Early disposal of pending ATNs on Audit Para of C&AG Reports presented to Parliament before 31.3.2011	Percentage of outstanding ATNs disposed off during the year	%	2.00	100	90	80	70	60
(7) To improve the financial conditions of the Urban Local Bodies through improved tax Collection.	10.00	[7.1] Rationalization and Monitoring of property tax	Rationalization of property tax	%	3.00	100	90	80	70	60
		[7.2] Issue of notifications	Rationalization of property tax	%	3.00	100	90	80	70	60
		[7.3] Collection of tax	Rationalization of property tax	%	4.00	100	90	80	70	60
(8) Administrative reforms.	3.00	[8.1] Identify potential areas of corruption related to organization activities and develop an action plan to mitigate them.	Finalize an action plan to mitigate potential areas of corruption	Date	1.00	31/12/2012	15/01/2013	15/02/2013	15/03/2013	31/03/2013
		[8.2] Introduction of Property Title Certification System	Property Title Certification System	Date	1.00	31/12/2012	15/01/2013	15/02/2013	15/03/2013	31/03/2013
		[8.3] Computerization of property tax	Computerization of Property Tax	Date	1.00	31/12/2012	15/01/2013	15/02/2013	15/03/2013	31/03/2013

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
[1] To provide Housing and basic urban infrastructure in the towns/slums under various schemes/projects.	[1.1] Completion of Projects under JNNURM Projects-4)	3.50 KM Length of Sewage to be laid in old Faridabad	KM	15.27	2.14	3.50	3.50	0
		2 Pumping Stations at Old Faridabad	Nos.	1	0	2	2	0
		Transfer Station under Solid Waste Management at Faridabad	Nos.	0	1	1	0	0
		Augmentation of water supply (capacity) UGSR : 10 nos. OHSR : 6 nos. Pipe line : 50 nos Rainy well : 3 nos	Nos.	0 0 38 0	0 0 48 2	10 6 50 3	15 0 50 9	0 0 50 0
	[1.2] Completion of Projects under UIDSSMT	Construction of STP -3 nos.	Nos.	0	1	2	1	0
		Const. of SWM Plants-1 Nos.	Nos.	0	0	1	1	1
		Laying of sewerage – 10 Kms	Nos.	0	0	10	15	0
	[1.3] Assisting 561 slum Dwellers in construction (in-situ) of their dwelling units in	Construction of 561 dwelling units (in-situ)	Nos.	1535	1078	561	561	0
	[1.4] Providing Basic Amenities in the slums under Integrated Housing & Slum Dev. Programme (IHSDP).	Installation of 3 Nos. Tube-wells	Number	4	0	3	3	0
		Laying of water pipeline	KM	0.43	15.70	3.8	3.8	0
		Laying of Sewer-line	KM	7.00	6.23	4.00	4.00	0
		Paving of Streets	KM	13.28	16.36	23.00	23.00	0
		Const. of Nallah	KM	0	0	3.00	3.00	0
		Const. of Drains	KM	24.63	8.43	20.00	20.00	0
		Installation of Street Lights	Nos.	184	2035	550	550	0



### Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
	[1.5] Release of funds of Rs. 51200.00 lacs under Rajiv Gandhi Urban Development Mission, Haryana (RGUDMH)	Rs. In lacs	Rs. in lacs	0	55039	51200	56320	61952
[2] To assist the urban poor (BPL) persons in enhancing their skill to access employment or better salaried jobs or undertake self-employment under SJSRY.	[2.1] To get the 1709 micro projects approved from the Banks for getting loans	Number of Beneficiaries	Number	1606	1511	1709	1880	2068
	[2.2] To get approved loan & subsidy to 252 members of to UWSP Groups of Urban Poor Women.	Number of Beneficiaries	Number	818	758	252	277	305
	[2.3] To facilitate for setting up 2608 members of T&CS by providing them grants	Number of Beneficiaries	Number	1236	1088	2608	2869	3156
	[2.4] Imparting Skill development Training to 9572 Urban Poor through reputed / established training Institutes like VLCC, NIIT & G4S etc.	Number Of Trainees	Number	4724	6472	9572	10529	11582
	[2.5] Providing daily wages for the works undertaken like Construction of Community Centre, Storm Water Drains, Roads, Parks etc. etc. in the jurisdiction of ULBs	[Number Of Man days	Number	33000	55000	14000	15400	16940

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
(3) To create community based network at town level to tackle the issues of Urban Poverty through suitable self managed community structures and capacity building programme.	[3.1] Formation of 50 Community Development Societies and imparting training to the members for helping the Urban Poor	Number of CDS	Number	0	0	50	50	50
[4] To facilitate urban infrastructure projects in the urban local bodies.	[4.1] Assisting the ULB for preparation of Detailed Project Reports -	Number of ULB-4	Number	0	0	3	1	0
	[4.2] Assisting the ULB for implementation of the E-governance plan	%age	%	0	0	70	80	100
	[4.3] Assisting the ULB for implementation of the Solid Waste Management Project	%age	%	0	0	70	80	100
	[4.4] Preparation and Draft notification of Accounts Code-2012	%age	%	0	0	90	10	0
	[4.5] Final notification of Accounts Code-2012	%age	%	0	0	90	10	0
	[4.6] Notification regarding implementation of show tax	%age	%	0	0	90	10	0
[5] To assist the ULB to implement the Government of India scheme	[5.1] Assisting the ULB and Department of Local Government for achieving the reforms under JnNURM-3 Nos	Number of Reforms	number	0	0	2	1	0
	[5.2] Assisting the ULB for sanctioning of projects under JnNURM – 4 nos.	Number of Projects	number	0	0	3	1	0
	[5.3] Assisting the ULB for preparation of Slum Free City Action Plan under Rajiv Awas Yojna – 2 nos.	Number of Cities	number	0	0	1	1	0

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
[6] To ensure compliance of the Financial Accountability Framework.	[6.1] Timely submission of ATNS on Audit Paras of C& AG	Percentage of ATNS submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year	%	0	0	90	10	0
	[6.2] Timely submission of ATRs to the PAC Sectt. On PAC Reports	Percentage of ATNS submitted within due date (6 months) from date of presentation of Report to Parliament by CAG during the year	%	0	0	90	10	0
	[6.3] Early disposal of pending ATNs on Audit Para of C&AG Reports presented to Parliament before 31 .3.2011	Percentage of outstanding ATNs disposed off during the year	%	0	0	90	10	0
[7] To improve the financial conditions of the Urban Local Bodies through improved tax Collection.	[7.1] Rationalization and Monitoring of property tax	Rationalization of property tax	%	0	0	90	10	0
	[7.2] Issue of notifications	Rationalization of property tax	%	0	0	90	10	0
	[7.3] Collection of tax	Rationalization of property tax	%	0	0	90	10	0

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
[8] Administrative reforms.	[8.1] Identify potential areas of corruption related to organization activities and develop an action plan to mitigate them.	Finalize an action plan to mitigate potential areas of corruption	Date	Not applicable	Not applicable	15/01/2013	Not applicable	Not applicable
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Date	Not applicable	Not applicable	26/07/2012	Not applicable	Not applicable
	Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Date	Not applicable	Not applicable	25/02/2013	Not applicable	Not applicable
* Improving Internal Efficiency / responsiveness / service delivery of Department	Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies,	Percentage of RCs covered	%	Not applicable	Not applicable	60	80	90
	Implementation of E-delivery Software	Create a compliant system to implement, monitor and review Citizen's / Client's Charter	Date	Not applicable	Not applicable	25/02/2013	Not applicable	Not applicable

\* Mandatory Objective(s)

## Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

### Success Indicator -

To provide infrastructure for supply of portable water, Drainage, Sewerage, SWM, Sewerage Treatment Plants and Housing in different ULBs of Haryana under JNNURM, UIDSSMT & IHSDP.  
Number of Beneficiaries under USEP (Loan & Subsidy to Individuals) component of SJSRY

Number of Beneficiaries under UWSP ((Loan & Subsidy to Women Self Help Groups) component of SJSRY  
Number of Skill Trainees  
Number of Mandays

To assist ULBs for preparation of Detailed Project Reports under different schemes. Implementation of Energy Efficiency in Street lighting, e-governance, SWM and to increase the efficiency of source of ULBs. The State Govt. has implemented most of the reforms assigned under the JNNURM and UIDSSMT. 3 reforms have been targeted to be completed.

To improve the financial conditions of the Urban Local Bodies through improved tax Collection.

### Description and Definition-

Sanction of projects of water supply, Drainage, Sewerage, SWM and Sewerage Treatment Plants.

The year-wise targets are fixed by the Government of India and the selection of the beneficiaries is done by DUDA, ULBs with the assistance of Community Development Societies/ Neighbor-Hood Groups. Till date, 29865 beneficiaries have been assisted with subsidy / loan of Rs. 1333.94 lacs for setting up Self Employment Micro Ventures.

The year-wise targets are fixed by the Government of India and the selection of the beneficiaries is done by DUDA, ULBs with the assistance of Community Development Societies/ Neighbor-Hood Groups. Till date, 9858 beneficiaries have been assisted with subsidy / loan of Rs. 175.45 lacs for setting up UWSP Groups.

The year-wise targets are fixed by the Government of India and the selection of the beneficiaries is done by DUDA, ULBs with the assistance of Community Development Societies. Till date, 39915 beneficiaries have been assisted with subsidy / loan of Rs. 1512.27 lacs for providing skill training.

The year-wise targets are fixed on the basis of the average minimum wages rates prevailed in the State for the skilled / unskilled daily wages workers for engaging urban poor people on Master Rolls. Till date, 6.03 lacs mandays have been created by utilizing Rs. 2371.83 lacs

95 Community Development Societies has been formed out of which 95 are registered. Under the capacity building programme, 2 Commissioners of the Municipal Corporations, 117 Health Officers, 73 Resource Persons and 135 others have attended the training Seminars conducted at State level. 21 Project Officers, 1851 Resident Community Volunteers (RCVs) and 6000 others are also covered under capacity building programme at town level.

In the first phase all the Municipal Corporations shall be taken for Energy Efficiency in Street lighting, e-governance. DPRs shall be prepared under SWM.

Urban Local Bodies Department, Haryana had proposed to construct the sanitary landfills and compost plants for disposal of Municipal Solid Waste, by grouping 2-3 towns with bigger towns in the vicinity, where land is available. A Committee has been constituted to select the appropriate technology. The committee has finalized the technology and Request for Proposal for SWM. The user charges have also been notified for collection of Solid Waste from doorsteps.

Under the guidelines of JNNURM that in order to qualify for release of 2<sup>nd</sup> installment under JNNURM. It is mandatory on the State Government as well as ULB to implement the reforms.

## Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

**Urban Poverty Alleviation : The process of disbursement individual and group is a methodology and achievement of target is the success indicator.**

Loan applications of individual Urban BPL persons duly recommended by CDS/NHG/NHC will be forwarded to Bank strictly as per SJSRY Scheme Guideline to achieve annual targets fixed by Govt. of India.

Loan applications of Group of minimum five Urban BPL Women will be forwarded to Bank strictly as per SJSRY Scheme Guideline to achieve annual targets fixed by Govt. of India.

Skill training to urban BPL candidates will be provided through empanelled Vocational Training Provider (VTP) Agencies as per SJSRY scheme guidelines. **(Number of persons mobilized for training – methodology and number of persons trained – success indicator)**

Urban Wage Employment component of SJSRY, **where success indicator is mandays, generated. Number of BPL persons mobilized is methodology.**

## Section 5: Specific Performance Requirements from other Departments

The performance of Department of Urban Local Bodies Haryana will be dependent on the following factors:

1. Timely sanction of estimates by funding agency and Timely sanctions / clearance from Departments like PHED, Finance, Forests, PWD (B&R), Electricity. Environment and Railways Etc.
2. Timely acquisition of land for Tube wells, Pumping Stations, Water Treatment Plants, Sewage Treatment Plants and allied works etc. subject to stay by Hon'ble Court or public resistance.
3. Approval of reports received from hired consultants by the ULB.
4. Resolution of ULB to implement the projects.
5. Decision of the competent authority to implement the reforms.
6. Response of private parties in the bidding process.
7. Setting up of Program Management unit at state level and ULB level.
8. Timely release of fund by the State Finance Department / Govt. of India, Ministry of Urban Development, HUPA and Ministry of Finance.
9. Concurrence of the Finance department regarding State Government Guarantee for obtaining loan.
10. Response from the other State Government Department.

## Section 6: Outcome/Impact of Department/Ministry

Jointly responsible for		Success	Unit	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
Outcome/Impact of influencing this outcome / Department / Ministry	Indicator	Impact with the following department (s) / ministry(ies)						
1 Provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure.	ULBs/DUDAs, PHED, Revenue, Forest, HIPA, TCP	Better city Infrastructure including portable water, Drainage, Sewerage, SWM, Sewerage Treatment Plants and Housing in different ULBs of Haryana.	Rs. in lacs	10363	9127.90	14350	15785	17363.50
		No of slum dwellers assisted in construction of their	number	1535	1078	561	561	0
2 Slum free city planning	ULBs	Coverage of towns	Number	Not applicable	Not applicable	1	1	1
3 Urban Poverty eradication	DUDAs/ ULBs and other line departments and empanelled agencies	Assistance to Urban BPL persons for settling up their individual self employment venture for earning better Livelihood.	Number	1606	1511	1709	1880	2068
		Assistance to Urban BPL women for settling up their Group (SHG) self employment venture for earning better Livelihood.	Number	818	758	252	277	305
		Assistance Urban BPL women for formation of Thrift & Credit Society for promoting the habit of small savings	Number	1236	1088	2608	2869	3156
		Urban BPL persons provided skill training for securing better salaried Job or self employment opportunities	Number	4724	6472	9572	10529	11582